

**GRANT AGREEMENT
BETWEEN
THE PARTNERSHIP FOR TRANSPARENCY FUND
AND
YOUTH FOR SOCIAL DEVELOPMENT AND ASSOCIATION FOR RURAL UPLIFT AND NATIONAL
ALLEGIANCE, BERHAMPUR, GANJAM, ORISSA, INDIA**

1. The Centre for Local Government and Budget and Policy Research (SWAYAT) of Youth for Social Development (hereafter called YSD) and the Association For Rural Uplift and National Allegiance (hereafter called ARUNA) have requested financial support of the Partnership for Transparency Fund (hereafter called PTF) to implement a program for "Urban Corruption Survey in Brahmapur City, Orissa, India" hereafter called "the Project". The Project will commence on **March 1, 2008**.

2. YSD has submitted the attached Project Proposal dated **February 17, 2008** which includes background information on YSD, objectives for the Project, proposed activities, deliverables, monitoring and evaluation arrangements, Project team details, time frame for implementation, a detailed breakdown of costs and details of partnership between YSD and ARUNA. Under the partnership YSD will implement the Project and ARUNA will act a lead partner to provide financial and management support for implementation of the Project. The Project Agreement between YSD and ARUNA dated **February 15, 2008** sets out the respective roles and responsibilities of the two parties, is attached to this Grant Agreement, and shall not be amended without agreement of PTF.

3. On the basis of this information, PTF has approved a grant of **US\$ 15,000** (United States dollars fifteen thousand only). The Grant will be disbursed to ARUNA in three tranches each for the purposes spelled out in the attached documents. ARUNA undertakes to pass on the Grant to YSD on terms described in the Project Agreement. The tranches will be disbursed as follows:

- First tranche of **\$3,000** (United States dollars two thousand only) to be disbursed on signature of this Grant Agreement.
- Second tranche of **\$8,000** (United States dollars eight thousand only) to be disbursed once the first tranche has been used and YSD and ARUNA have submitted a detailed Methodology and Work Program Note and PTF finds it satisfactory. The Note shall provide, inter alia, details of services and agencies to be surveyed, researcher's resumes, survey methodology and survey instrument, plans for constructive engagement with public officials to discuss the Project's findings and recommendations, and impact assessment.
- Third tranche of **\$ 4,000** (United States dollars four thousand only) to be disbursed on receipt from YSD and ARUNA of a satisfactory Project completion report that describes and assesses the Project achievements including preliminary assessment of impact.

The uses of the PTF funds will be for the budget items listed in the attached Project Proposal.

4. The PTF Grant will be made available to YSD and ARUNA on the following conditions:
- (a) The grant will be used only for the purposes described in the attached Project. Any material changes in the use of Project funds or Project design shall be made only with the agreement of the PTF.
 - (b) Any funds remaining at the end of the Project shall be returned by YSD and ARUNA to the PTF.

Bibhu Prasad Sabu.

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- (c) YSD and ARUNA shall keep a record of all expenditures incurred under the Project and will provide PTF a full certified accounting of these expenditures, with relevant documentation, on completion of the Project, or no later than March 30, 2009. These expenditures will also be subject to the regular auditing requirements of YSD and ARUNA and they will furnish the PTF with a copy of the relevant audit if so requested.
- (d) YSD and ARUNA will make brief quarterly reports on the implementation of the Project accompanied by a statement of expenditure showing the use of PTF funds and, on Project completion, a full detailed final report summarizing the implementation of the Project and its outcome and assessing the impact of the Project on increasing transparency and accountability in Brahmapur, Orissa, India, its likely sustainability and the lessons learned, together with a certified final itemized statement of expenditure. The latter report will be sent within two months of the completion of the Project, together with a copy of any other reports prepared under the Project
- (e) Following Project completion, the PTF may make its own independent ex post evaluation of the implementation, outcome and impact of the Project. YSD and ARUNA will furnish the person appointed to undertake this task all possible assistance and access to all relevant documents.
- (f) YSD and ARUNA will post this agreement, the Project Proposal, the final Project report, and the statement of expenditures on the SWAYAT/YSD Website.

5. YSD and ARUNA will be responsible for securing all necessary government approvals of the grant, if any, and any necessary government filings. YSD and ARUNA will be responsible for paying any tax liability arising from the grant.

7. The signed copy of this Grant Agreement and the request for the subsequent tranche releases may be faxed to the Secretary of the PTF at 1-202-522-2632. The original copy of the Grant Agreement should be mailed to Daniel Ritchie, Secretary, PTF, at 4355 Kingle Street NW, Washington DC 20016, USA.

Dated,

March 5, 2008

For
The Partnership for Transparency Fund

Daniel Ritchie
Daniel Ritchie
Secretary

Agreed

For Youth for Social Development

Honorary Director
SWAYAT@YSD

For ARUNA

Bibhu Prasad Sahu
Prof. Bhagabata Patra
Honorary Director, SWAYAT
Centre for Local Government Budget and Policy Research
BERHAMPUJUR-10, Ganjam, Orissa, India

Lokanath Mishra
Lokanath Mishra
Director

Bibhu Prasad Sahu,

Bibhu Prasad Sahu Secretary
Secretary YOUTH FOR SOCIAL DEVELOPMENT
Berhampur (Gm) Orissa



Date: 21 February 2008

- Attachment: 1. Project Proposal and Budget dated February 17, 2008
2. Project Agreement Between YSD and ARUNA dated February 15, 2008.

- Urban Corruption/Bribery Index, formulated as a result of the Survey, can play an important role in the development of appropriate measures to counter bribery and enhance transparency

On the basis of the findings of the survey YSD plans to disseminate the report widely among policy makers, academicians, media persons, Civil Society Organizations and general public. Setting up an alliance involving Civil Society Organizations and local NGOs to fight against corruption through an Anti-Corruption Helpline which provide assistance to citizens in the redressal of their complaints and grievances relating to petty corruption.

3. Target Group

Policy makers, bureaucrats, legislatures, media persons, academics and other civil society actors - people who can fight against corruption and influence the local government to combat corruption are the primary targets of this project. The indirect target group will be the population at large in Brahmapur city, particularly the urban poor and deprived groups.

4. Implementing Organisation

SWAYAT, centre for local government budget and policy research, the research and advocacy unit of YSD

The YOUTH FOR SOCIAL DEVELOPMENT (YSD) is a not-for-profit, independent social research and development organization established by a group of professionals registered under the Societies Registration Act, 1860 undertaking research (theoretical, empirical, action), advocacy, education, training, consultancy, documentation and participatory community development actions in the areas of sustainable livelihood promotion (Microfinance), strengthening people's participation (PRI development), influencing public policy and finance and improving quality of governance (using Citizen's Report Card, Right to Information and Budget Analysis). For the development and well being of the people, society and above all the state

Mission YSD

Improving the quality of social, economic and democratic life of poor marginalized and deprived people through research and advocacy initiatives, participatory community development action and capacity building programme in the tribal, rural and urban areas for an equitable and sustainable development of the people, society and above all the state

5. Objectives and Scope

Wider Objective

The overall objective of the Project is to find out the level of corruption at the local government level and aware people to raise voices against corruption to foster effective public service delivery by the local government.

Specific Objectives

- To find out the organizations, institutions or sections within institutions, where corruption is prevalent
- To compute the costs of corruption to the average citizen
- To increase public interest in the issues surrounding corruption, and

public debate on Corruption. Short and interesting write-ups on Corruption related issues would be provided to the media.

8. Networking of CSOs interested in Corruption

Networking with all NGOs and development organization and the pressure groups of city will be done during this project. Those who are interested in the coalition against corruption and advocacy effort, a city level alliance will be made to fight against corruption regularly.

9. Staff Capacity Building

The project team will participate in training programmes, exposure visits to the national level organisation who are working in anti corruption to enhance their capacity.

10. Research Methodology

The research will be both quantitative and exploratory in nature, where primary data will be used. The primary data collected from the public will be used for drawing the inference in quantitative terms. Both structured and unstructured interview schedules will be used to collect the primary information. Simple statistical tools like percentage, average, growth rate and correlation will be used to draw the inferences. PRA techniques will be used to conduct the social group analysis. The stakeholder analysis will be done through both structured and unstructured interview schedules.

11. Setting up an alliance to fight against corruption

An alliance will be set up to fight against corruption regularly. The NGOs and development organisations in the city will be called to build an alliance to fight against corruption based upon their interest.

12. Setting up of an Anti-Corruption Helpline

An Anti-Corruption helpline will be set up in order to provide assistance to citizens in the redressal of their complaints and grievances relating to petty corruption. The project aims at addressing petty corruption in public agencies and departments by putting social and moral pressure on the impugned agencies (and corrupt officials) through the following citizen-friendly process:

- The affected citizens may register their complaints with the Coalition Against Corruption via the helpline.
- The Coalition Against Corruption will forward the complaints to the appointed nodal officers in the departments and agencies concerned for remedial action.
- The Coalition Against Corruption will follow up the complaints in case responses are not available after a reasonable time or are not satisfactory. The complainants will be appropriately informed of the progress of their cases.

With the help of the media the Coalition Against Corruption will make information about the nature of complaints and action taken public.

13. Publication of Corruption handbook

A hand book on corruption on public services will be prepared containing the survey results and disseminated widely to aware and to create debate and discussion on corruption.

14. Advocacy Aspect

Stimulating Advocacy is one of the core objectives of the project. This is explained under 'Activities'

15. Community Participation

In addition to the perception mapping process (refer activities), the community will be involved in the sharing workshops. The common people will be informed of the findings in a simplified form through the media.

11. Time-Frame and Phasing

Activities	F	M	A	M	J	J	A	S	O	N	D	J
Collection of materials and articles	■	■										
Exposure visit and training	■	■										
Designing the framework		■	■									
Collection of Data from the field				■	■	■	■					
Semi structured Interview with stakeholders					■	■	■					
Data entry and analysis					■	■	■	■	■			
Report writing and preparation									■	■		
Seminar on corruption										■		
Workshop of government officials on corruption											■	
Preparation of hand book on corruption											■	
Linking with media					■	■	■	■	■	■	■	■
NGO networking								■	■	■	■	■
Alliance Building										■	■	■
Set up of Anti-corruption Helpline											■	■

12. BUDGET TABLE

SI #	Budget Item	Quantity	Estimated Cost INR
1.	Salary and Professional Fees		
	1. Two Researchers	7000 per month per researcher for one year	1,68,000
	2. Two Data Entry Operator	4000 per month per researcher for four month	32,000
	3. Three Field Investigator	4000 per month per Investigator for four months	48,000
	4. External Expert		30,000

to ensure public accountability. A citizen's report card solicits user's perspective on different dimensions such as quality, efficiency and adequacy of the various public services. Qualitative user's opinions are aggregated to create a 'score card' that rates the performance of the service providers. The finding presents a quantitative measure of overall satisfaction and perceived levels of corruption among an array of other indicators. By systematically gathering and disseminating public feedback report cards can bring about accountability and responsiveness among the service providers. The report of the CRC study widely disseminated and public advocacy done with the involvement of media, NGO's, academicians, social activist and citizen's committee to improve the services provided by the local government.

2. Right to Information Campaign

Information is the oxygen of democracy. The ability of citizens to hold their governments accountable and to participate fully in democratic society rests on their ability to access and comment upon government-held information. Human rights are better protected when the public can effectively monitor government action. By increasing public scrutiny, laws guaranteeing access to information and freedom of expression serve as safeguards against government abuse, subversion of the democratic process, and the squandering of public assets. The right to information is a new instrument with the potential to serve as a powerful tool for democracy, good governance and the fulfilment of human rights. A precondition for its utilisation for these purposes is the enactment of a detailed procedural right to information law and its successful implementation, supported by the engagement of civil society. YSD has engaged it self in the RTI campaign and already conducted many awareness camps in Ganjam district of Orissa.

3. Pre Election Voters Awareness Campaign

In order to establish real democracy institution for local self governance need to be strengthened so that social justice and equality can be ensured. Power in the hands of the people will help in ensuring justice and equality at grass root level, which is only possible when free and fair elections are ensured. To have free, fair peaceful and participatory election processes as well as ensuring responsive and accountable leadership in the Panchayat YSD had completed Pre Election Voters Awareness Campaign in the recently ended Panchayat Elections in selected Panchayats of Ganjam District of Orissa.

4. Capacity Building of Grass root Decision Makers on Planning and Budgeting

Understanding of decentralization and functioning of the elected representatives and to build and strengthen their capacity with regard to planning; budgeting and generating own revenue at local government level (Gram Panchayat) is very important to involve the elected representatives in the governance process. YSD had completed training for the elected representatives at the local government level to build their capacity and to make them efficient administrator in a few Gram Panchayats in Ganjam district of Orissa.

5. Quit Corruption Contest

YSD has made some steps to concentrate on corruption in public life and governance. To draw awareness among the general public and the participation of general public to undertake this corruption problems through Quit Corruption Contest. This contest was participated by several school students and some eminent advocates of the city. Resulted some awareness among the school children and other participants.